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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/590,794

09/18/2006

Marc Girault

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EXAMINER

VAUGHAN, MICHAEL R

ART UNIT

PAPER NUMBER

2431

MAIL DATE

DELIVERY MODE

07/21/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/590,794	Applicant(s) GIRAULT ET AL.	
	Examiner MICHAEL R. VAUGHAN	Art Unit 2431	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 24 June 2009.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-23 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on **6/24/09** has been entered.

Claims 1 and 17 have been amended. Claims 1-23 are pending.

Response to Amendment

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-3, 7-17, and 20-23 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. As per claims 1 and 17, the preamble recites that a cryptographic value (y) will be produced by the limitations of the

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claim. However, since only a part of the cryptographic value is produced it's unclear how it relates to (y). The scope of the invention is therefore indefinite because part of (y) does not equate to (y). The dependent claims are likewise rejected.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-3, 7-17, and 20-23 are rejected under 35 U.S.C. 112, first paragraph, as based on a disclosure which is not enabling. The generation of the cryptographic value being critical or essential to the practice of the invention, but not included in the claim(s) is not enabled by the disclosure. See *In re Mayhew*, 527 F.2d 1229, 188 USPQ 356 (CCPA 1976). Claims 1 and 17 are directed to generating a cryptographic value. However, they fall short of generating the cryptographic value. As indicated by the claims, only a part of the cryptographic value is produced. As the specification discloses the cryptographic value (y) is produced by adding/subtracting a random number (r) to the product of the two factors. The product of the two factors is part of the cryptographic value but it is missing the other critical part. This critical part can be found in claims 4 and 18. Stopping short of generating the cryptographic value, as described in the preamble, is found to lack enablement. The dependent claims are likewise rejected.

Response to Arguments

Applicant's arguments filed 6/02/09 have been fully considered but they are not persuasive. Applicant has argued that prior art Naslund fails to teach assembling of the (n) successive binary versions obtained in order to produce at least part of a said cryptographic value. The following interpretation of the prior art is solely based on the current set of claims and arguments submitted by the Applicant. It is not the only possible interpretation of the prior art and may be altered when/if the claims and/or arguments change.

As claimed, a product is determined by shifting bits of a factor. Naslund teaches multiplication can be carried out with shift operations (0089). Moreover, the fact is binary multiplication can be performed by shifting bits. This is a mathematical truth of binary operations, not an inventive concept. The claim is directed to a special case whereby the bits can be shifted and no multiplication or addition is necessary because there is enough padding of zeroes to prevent carries. So basically it is equivalent to multiplying by one. No real multiplication is needed because a number multiplied by one is itself. This feature is not considered to carry patentable weight because it is the truth of how the mathematics is carried out for that particular case. This is similar to the fact that you can multiply by two by simply shifting the bits to the left by one place. Shifting bits covers all factors including the special case of having padding between consecutive bits of 1. It is true that in this special case there are no carry-bits so the first factor can be placed at each 1 bit place in the second factor. Again this is just a matter of binary arithmetic. The math works out that way. Examiner cannot give

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patentable weight to mathematical truths. Therefore Examiner respectfully maintains that Naslund teaches the limitations of the claims.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-23 are rejected under 35 U.S.C. 102(e) as being anticipated by USP Application Publication 2006/0072743 to Naslund et al., hereinafter Naslund.

As per claims 1 and 17, Naslund teaches a method and device for performing a cryptographic operation in a device under the control of a security application, in which a cryptographic value (y) is produced in the device, by a calculation comprising at least one multiplication between a first and a second factor [alpha, beta] wherein one of said first and second factors includes a part that is a secret key associated with the device (0131) wherein said first factor comprises a determined number of bits L in a first binary representation (0132), where said second factor comprises in a second binary

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representation several bits set to 1 with, between each pair of consecutive bits set to 1, a sequence of at least L-1 bits set to 0 [multiple-guard bits; 0087],

obtaining a plurality (n) of successive binary versions of the first factor by shifting said first factor in accordance with the positions of the bits set to 1 of the second factor (shifting done in 0089);

carrying out the at least one multiplication operation by assembling said n successive binary versions of the first factor, to produce at least a part of the cryptographic value [multiplication is performed by the shifting and result in the product equal to the third field element; 0089] .

As per claim 2, Naslund teaches the secret key (s) forms part of an asymmetric cryptographic key pair associated with the device (0274).

As per claim 3, Naslund teaches the device comprises a chip including hard-wired logic for producing the cryptographic value (0063).

As per claim 4, Naslund teaches the calculation of the cryptographic value furthermore comprises an addition or a subtraction between a pseudo-random number and the result of the multiplication (0275 and 0306).

As per claim 5, Naslund teaches the first and second factors and the pseudo-random number are dimensioned so that the pseudo-random number is greater than the result of the multiplication (0312).

As per claim 6, Naslund teaches the number of bits set to 1 of the second factor is chosen at most equal to the largest integer less than or equal to s_1/L , where s_1 is a

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predefined threshold less than the number of bits of the pseudo-random number (r) in binary representation (0168).

As per claim 7, Naslund teaches the two factors of the multiplication include, as well as said part of the secret key, a number provided to the device by the security application executed outside the device (0274).

As per claim 8, Naslund teaches the two factors of the multiplication include, as well as said secret key, a number provided by the device (0274).

As per claim 9, Naslund teaches part of the secret key (s) is said first factor of the multiplication (0275).

As per claim 10, Naslund teaches binary versions are disposed in respective intervals of like size in bits, said size corresponding to the total size of a usable space, divided by the number of bits set to 1 of the second factor of the multiplication, each binary version being placed in its respective interval as a function of a shift in accordance with the positions of the bits set to 1 of the second factor (0168).

As per claim 11, Naslund teaches part of the secret key (s) is the second factor of the multiplication (0276).

As per claim 12, Naslund teaches the secret key is stored in a memory support of the device by coding the positions of its bits set to 1 (0276).

As per claim 13, Naslund teaches the secret key (s) is stored in a memory support (-1-6) of the device by coding numbers of bits separating respectively lower bounds of intervals of $(S-1)/(n-1)$ bits and lower bounds of blocks of bits allotted to the first factor (c) of the multiplication and each disposed in the associated intervals, S

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being the number of bits of the secret key and n the number of bits set to 1 of the secret key (0168 and 0276).

As per claim 14, Naslund teaches the secret key is stored in a memory support of the device by coding numbers of bits, each representative of the number of bits separating two blocks of successive bits allotted to the first factor of the multiplication (0276).

As per claim 15, Naslund teaches the cryptographic value is produced so as to authenticate the device in a transaction with the security application executed outside the device (0304).

As per claim 16, Naslund teaches the cryptographic value is produced in the guise of electronic signature (0014).

As per claim 18, Naslund teaches generating a pseudo-random number (r), the means of calculation comprising means for adding the result of the multiplication to or subtracting it from said pseudo- random number (0275 and 0306).

As per claim 19, Naslund teaches the first and second factors and the pseudo-random number are dimensioned so that the pseudo-random number is greater than the result of the multiplication (0312).

As per claim 20, Naslund teaches the means of calculation are embodied as hard-wired logic (0063).

As per claim 21, Naslund teaches part of the secret key is the first factor of the multiplication (0275).

As per claim 22, Naslund teaches part of the secret key (s) is the second factor of the multiplication (0276).

As per claim 23, Naslund teaches a memory adapted for storing data for coding the positions of the bits set to 1 of the secret key (0276).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICHAEL R. VAUGHAN whose telephone number is (571)270-7316. The examiner can normally be reached on Monday - Thursday, 7:30am - 5:00pm, EST. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Korzuch can be reached on 571-272-7589. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

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USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/M. R. V./

Examiner, Art Unit 2431

/William R. Korzuch/

Supervisory Patent Examiner, Art Unit 2431